

STICK AROUND!



THE Q&A WILL BEGIN MOMENTARILY



Q&A Virtual Lunch Hour
w/ Jason Killeen

WELCOME

**Q&A VIRTUAL LUNCH HOUR
WITH JASON KILLEEN
AND SPECIAL GUEST ROCKY WILES**



The background is a dark blue textured surface. At the top, a string of yellow lights hangs across the frame. On the left, there are three overlapping rounded rectangular shapes: a green one at the bottom, an orange one in the middle, and a smaller orange one above it. On the right, there is a large orange shape at the bottom and a blue rounded rectangle above it containing a circular logo.

WELCOME REMARKS



Q&A Virtual Lunch Hour
w/ Jason Killeen and Special Guest Rocky Wiles

Regulation No. 3

- 1) Register for a Business Tax Registration Certificate (BTRC)
- 2) Legal Business Entity Record
- 3) Pre-Application Review
- 4) Temporary Approval Application
- 5) Environmental Review
- 6) Determination of Completeness
- 7) Annual License Application



Regulation Nos. 5 and 7: Operational Requirements and Violations

Compliance and Enforcement Division's (CED) Goals:

- Education through outreach
- Information sharing that is easily accessible (workflows, forms, deadlines)
- Transparency related to an Individual's complaint (i.e complaint status)
- Encourage Operators to be good neighbors
- Progressive enforcement to achieve compliance
- Provide clarity of Operational Regulations (Regulation No. 5) and Administrative Violations, Penalties and Appeals (Regulation No. 7)
- Cannabis License Violation Fines:
 - Minor Violation - \$7,004
 - Moderate Violation - \$ 21,013
 - Major Violation - \$42,026

Regulation No. 5: Operational Requirements and Violations

Reorganized into Operational Categories:

- Section A: General Regulations for all Activities
 - Responsible Management
 - Responsible Management of the Business Premises
 - Unauthorized Modifications
 - Security Measures
 - Business Premises Equipment
 - Prohibited Activities
 - Required Notifications



Regulation No. 5: Operational Requirements and Violations

Regulation 5(A)(2)(vii): Compliance Attestation:

Licensees are required to complete the ***Licensee Attestation: Operational Requirements and Violations***, ENF-3003-FORM to declare the Licensee received, read, and understands all applicable operational regulations. ENF-3003-FORM must be signed by, at a minimum, (1) a simple majority of the Licensee's Owner(s) or the Authorized Agent and, if applicable, (2) the Social Equity Individual Applicant. The Licensee is required to provide a copy of the Rules and Regulations for Cannabis Procedures to any and all Primary Personnel, managers, and the person-in-charge. (Violation Type – Minor)



CITY OF LOS ANGELES DEPARTMENT OF
**CANNABIS
REGULATION**

LICENSEE ATTESTATION:
OPERATIONAL RULES AND REGULATIONS

ENF-3003-FORM

Licensee (Legal Entity Name): _____

Business Premises Location: _____

Legal Business Entity Record No.: LA-B-_____ Beginning Date of Operations: _____

Instructions: Pursuant to Regulation No. 5(A)(2)(vii) of the Rules and Regulations for Cannabis Procedures, Licensees are required to complete this compliance attestation to declare the Licensee received, read, and understands all applicable operational regulations described therein. ENF-3003-FORM must be signed by, at a minimum, (1) a simple majority* of the Licensee's Owner(s) or the Authorized Agent* **and**, if applicable, (2) the Social Equity Individual Applicant. The Licensee is required to provide a copy of the Rules and Regulations for Cannabis Procedures to any and all Primary Personnel, managers, and the person-in-charge. Please email a completed and fully executed attestation to DCRcompliance@lacity.org.

PLEASE NOTE: This ENF-3003-FORM must be submitted to the Department of Cannabis Regulation (DCR) within 30 days from the date an entity begins operations.

*Signatures are required from a sufficient number of Level 1 Owners to constitute a majority (51%) of the ownership of the Applicant or Licensee. "Level 1 Owners" are the natural persons or entities that own the Applicant or Licensee entity directly without any intervening entities or persons. If a Level 1 Owner is an entity, the CEO or President, or equivalent executive position, may sign on behalf of the entity.

The individuals below acknowledge that they have received a copy of [Regulation No. 5](#), titled "Operational Requirements and Violations," and agree to abide by and comply with them.

_____ Name	_____ Signature	_____ Title	_____ Date
_____ Name	_____ Signature	_____ Title	_____ Date
_____ Name	_____ Signature	_____ Title	_____ Date
_____ Name	_____ Signature	_____ Title	_____ Date
_____ Name	_____ Signature	_____ Title	_____ Date

ENF-3003-FORM (10/09/2022)

Department of Cannabis Regulation
221 N. Figueroa Ave., Suite 1245, Los Angeles, CA 90012
(213) 978 - 0738 · cannabis@lacity.org
www.cannabis.lacity.org

Regulation No. 5: Operational Requirements and Violations

Regulation 5(A)(2)(vii): Compliance Attestation:



Regulation No. 5: Operational Requirements and Violations

Reorganized into Operational Categories:

- Section B: Records Retention
- Section C: Retailer Commercial Cannabis Activity
- Section D: Delivery Commercial Cannabis Activity
- Section E: Microbusiness Commercial Cannabis Activity
- Section F: Testing Lab Commercial Cannabis Activity



Regulation No. 5: Operational Requirements and Violations

Regulation 5(D)(12): **Delivery Fleet Information and Delivery Vehicle Placard (DVP)**

The Licensee shall register with DCR all motor vehicles used for the delivery of Cannabis and Cannabis goods...within 30 days of DCR's issuance of a delivery License. Vehicles must be registered with DCR to obtain a DVP prior to their use for delivery of Cannabis or Cannabis goods. DCR shall issue a DVP for each vehicle used in deliveries which shall be kept in the vehicle at all times...



CANNABIS DELIVERY VEHICLE FLEET INFORMATION

ENF-3004-FORM

DCR Record No.: _____

Legal Entity Name (Licensee): _____

DBA: _____

Business Premises Location: _____

Instructions: To obtain a Delivery Vehicle Placard (DVP) delivery vehicles must be registered with DCR by completing and emailing this form to DCRCompliance@lacity.org, with the subject line "Cannabis Delivery Vehicle Fleet Information Form". Please provide a list of all the delivery employees of the Licensee in **Table 1** and a list of all of the motor vehicles used for the delivery of Cannabis and Cannabis goods in **Table 2**. DCR will issue a DVP for each vehicle used in deliveries which shall be kept in the vehicle at all times and provided upon request to DCR, LAPD, or any other agencies. DVPs will be reissued upon License renewal. The Licensee shall notify DCR via email at DCRCompliance@lacity.org when a new vehicle is added to or removed from the fleet by amending and resubmitting this form.

Table 1.

Delivery Employees		

Table 2.

Delivery Vehicle Information			
Make	Model	Color	License Plate

Regulation No. 5: Operational Requirements and Violations

Regulation 5(D)(12): Cannabis Delivery Vehicle Fleet Information

2022

CANNABIS

2022

DELIVERY VEHICLE PLACARD

This DVP must be maintained in the assigned vehicle at all times while conducting delivery of cannabis and/or cannabis products and must be provided upon request to DCR, LAPD, or any other government agency.

DCR Record No.

Legal Entity Name (Licensee):

DBA:

Business Address:

This Delivery Vehicle Placard is assigned to:

Make:

Model:

Color:

License Plate:

Please refer to Regulation No. 5(D) for all regulations concerning Delivery Commercial Cannabis Activity.

- Deliveries of Cannabis or Cannabis goods shall only occur between the hours of 6:00 am to 10:00 pm.
- Delivery employees shall not be under the influence of any substance that impairs their ability to drive.
- Delivery employees shall only travel in an enclosed motor vehicle operated by the delivery employee of the Licensee.
- Cannabis or Cannabis Products shall not be visible to the public.
- Delivery vehicles shall not be left unattended unless the vehicle is locked and equipped with an active alarm system.
- Delivery vehicles shall be equipped with a dedicated GPS device affixed to the vehicle which shall remain active and inside the vehicle during delivery business hours.
- The delivery employee shall use an electronic age verification device to verify that the individual receiving the cannabis or cannabis goods order is at least 21 years of age.
- Delivery employees shall display an identification badge at all times while conducting deliveries for the Licensee.



Regulation No. 5: Operational Requirements and Violations

Regulation 5(D)(12): Delivery Vehicle Placard (DVP)



Regulation No. 7: Administrative Violations, Penalties and Appeals

- Authorizes DCR to issue a “Notice to Correct”
- Authorizes DCR to consider an Extension of Time to Comply with a Notice of Violation

Q&A



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w/ Jason Killeen

**THANKS FOR
COMING**

